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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/566,813	01/30/2006	David Casey	0789860222	8053

23392 7590 04/09/2007
FOLEY & LARDNER
2029 CENTURY PARK EAST
SUITE 3500
LOS ANGELES, CA 90067

EXAMINER

LEE, JAE

ART UNIT	PAPER NUMBER
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2823

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE
3 MONTHS	04/09/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

Office Action Summary	Application No. 10/566,813	Applicant(s) CASEY, DAVID	
	Examiner Jae Lee	Art Unit 2823	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 30 January 2006.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-6 is/are pending in the application.
- 4a) Of the above claim(s) 6 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☐ Claim(s) 1-5 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 30 January 2006 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date <u>07/10/2006</u> | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claim Objections

1. **Claim 1** is objected to because of the following informalities:

- a. Claim 1, line 9: change "Q" to "O" in "mQhms".
- b. Claim 1, line 9: insert "/" between "mOhms" and "mm²".

Appropriate correction is required.

Claim Rejections - 35 USC § 103

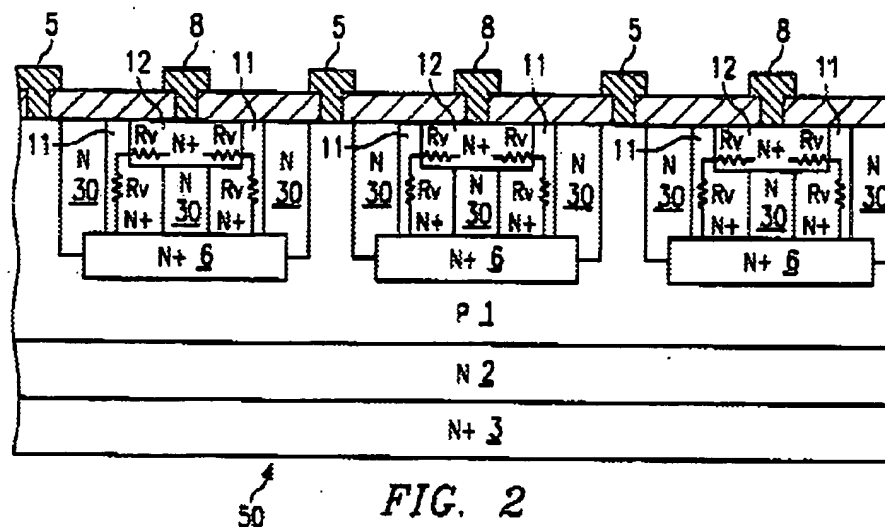
1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

3. **Claims 1-5** are rejected under 35 U.S.C. 103(a) as being unpatentable over Palara (USP# 5,408,124, hereinafter Palara).



With regards to **claim 1**, Palara teaches a bipolar transistor, comprising:

A first semiconductor region of a first conductivity type defining a collector region
(see Fig. 2, collector region 2);

A second semiconductor region of a second conductivity type defining a base
region (see Fig. 2, base region 1);

A third semiconductor region of said first conductivity type defining an emitter
region (see Fig. 2, emitter region 12); and

A metal layer providing contacts to said base and emitter regions (see Fig. 2,
emitter regions 8 and base regions 5);

Palara, however, neither teaches wherein the transistor has a specific area
resistance less than 500 mOhms / mm² nor wherein said metal layer has a thickness
greater than 3 μm.

In the same field of endeavor, it would have been obvious to one of ordinary skill
to determine the optimum specific area resistance and the thickness of the metal layer

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(see *In re Aller, Lacey, and Hall* (10 USPQ 233-237). It is not inventive to discover the optimum or workable ranges by routine experimentation. Note that the specification contains no disclosure of either the critical nature of the claimed ranges or any unexpected results arising therefrom. Where patentability is said to be based upon particular chosen dimensions or upon another variable recited in a claim, the applicant must show that the chosen dimensions are critical (see *In re Woodruff*, 919 f.2d 1575, 1578, 16USPQ 2d, 1934, 1936 (Fed. Cir. 1990)).

With regards to **claims 2 and 3**, Palara teaches the limitations of claim 1 for the reasons above.

Palara does not teach a bipolar transistor according to **claim 1**, wherein the metal layer has a thickness no less than 4 μm .

Palara does not teach a bipolar transistor according to **claim 1**, wherein the metal layer no less than 6 μm .

In the same field of endeavor, it would have been obvious to one of ordinary skill to determine the optimum the thickness of the metal layer (see *In re Aller, Lacey, and Hall* (10 USPQ 233-237). It is not inventive to discover the optimum or workable ranges by routine experimentation. Note that the specification contains no disclosure of either the critical nature of the claimed ranges or any unexpected results arising therefrom. Where patentability is said to be based upon particular chosen dimensions or upon another variable recited in a claim, the applicant must show that the chosen dimensions

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are critical (see In re Woodruff, 919 f.2d 1575, 1578, 16USPQ 2d, 1934, 1936 (Fed. Cir. 1990)).

With regards to **claim 4**, Palara teaches a bipolar transistor according to **claim 1**, wherein the emitter region defines a first surface, the base region extending to said surface in locations defined by apertures through emitter region, said metal layer overlying said first surface (see Fig. 7, emitter region **12** define surface, base region **1** extending to surface, apertures (alternating **8** and **5**) through emitter region **12**, regions **8** and **5** composed of metal layer overlying surface).

With regards to **claim 5**, Palara teaches the limitations of claim 4 for the reasons above.

Palara, however, does not teach a bipolar transistor according to **claim 4**, wherein adjacent apertures are spaced less than 100 μm from each other.

In the same field of endeavor, it would have been obvious to one of ordinary skill to determine the optimum distance between two apertures (see In re Aller, Lacey, and Hall (10 USPQ 233-237). It is not inventive to discover the optimum or workable ranges by routine experimentation. Note that the specification contains no disclosure of either the critical nature of the claimed ranges or any unexpected results arising therefrom. Where patentability is said to be based upon particular chosen dimensions or upon another variable recited in a claim, the applicant must show that the chosen dimensions

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are critical (see In re Woodruff, 919 f.2d 1575, 1578, 16USPQ 2d, 1934, 1936 (Fed. Cir. 1990)).

Conclusion

4. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure:

a. Beasom (Pub No. US 2003/0087496 A1)

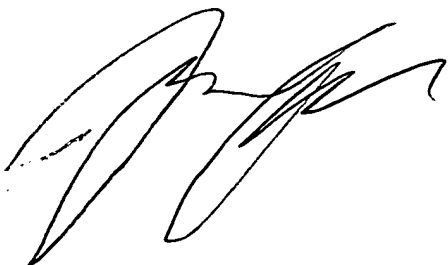
Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jae Lee whose telephone number is 571-270-1224.

The examiner can normally be reached on Monday - Friday, 7:30 a.m. - 5:00 p.m. EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Matthew Smith can be reached on 571-272-1907. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

JML

A stylized handwritten signature in black ink, consisting of several loops and a long horizontal stroke.A handwritten signature in black ink, appearing to read 'Matthew Smith' in a cursive style.

MATTHEW SMITH
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2800